

SAM General Policy Statement



ABOUT SAM

SAM is an investment boutique focused exclusively on Sustainability Investing.

Mission: Our mission is to drive sustainability thinking. We use financial markets as the most powerful transmission mechanism to promote sustainable business practices. With our exclusive focus on Sustainability Investing, we provide a unique and broad range of sustainability solutions for investors and corporates.

Vision: We are convinced that companies with sustainable business practices are more successful. Our vision is to set the standard in Sustainability Investing. As a thought leader, we contribute to this continuous development through our Sustainability Foresight.

SUSTAINABILITY INVESTING BY SAM

Sustainability Investing means to us integrating long-term sustainability issues such as water or resource scarcity into financial analysis. These issues affect the financial performance of corporations. By integrating sustainability into our investment process we aim to deliver superior risk-adjusted investment returns to our clients.

OUR RESEARCH APPROACH

Our sustainability analysis framework includes environmental criteria such as carbon efficiency, social criteria such as human capital management, and economic criteria such as innovation management, customer relationship management, corporate governance or brand management.

We annually conduct our Corporate Sustainability Assessment analyzing the sustainability performance of more than 2000 companies. By providing companies with objective information regarding their sustainability performance we enable them

to gradually improve their strategy and to adopt more sustainable business practices. We therefore understand our assessment to be a unique form of **engagement**.

OFFERING

Our proprietary research and investment methodology drive our comprehensive offering of Sustainability Investing strategies that aim to achieve superior risk-adjusted returns:

- Asset Management strategies
 - o Sustainability Theme Equity strategies are focused on companies that offer solutions to solve pressing Sustainability issues such as Water and resource scarcity or climate change
 - o Sustainability Core Equity strategies are broadly diversified and have either a regional or a global focus

- Private Equity
 - o SAM Private Equity has been a pioneer in investing in Clean Tech private equity and is regarded as a leader in this field

- Sustainability Indexes and Sustainability Services
 - o In cooperation with Dow Jones and Stoxx, SAM publishes the renowned Dow Jones Sustainability Indexes
 - o Sustainability Services provides a range of services to support organizations in building their competencies and capabilities to enhance their corporate sustainability performance